



A33437 - 065855.0122

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Boardman  
Serial No. : 09/730,266 Examiner:  
Filed : December 5, 2000 Group Art Unit: 2161  
For : SYSTEM AND METHOD FOR ESTIMATING  
DISTRIBUTION USING A PRODUCT  
SPECIFIC UNIVERSE

REQUEST FOR CORRECTED FILING RECEIPT

RECEIVED  
AUG 15 2001  
Technology Center 2100

I hereby certify that this paper is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents,  
Washington, D.C. 20231

February 16, 2001

Date of Deposit

Paul D. Ackerman

Attorney Name

39,891

Registration No.

Signature

February 16, 2001

Date of Signature

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Done  
K. H. H.  
8/17/01

Applicants request correction of the Official Filing Receipt which  
improperly indicates that a non-publication request was not filed with this application.

PATENT

Enclosed is a copy of the application transmittal which, on the first page, includes a signed certification and request for non-publication pursuant to 35 U.S.C. § 122(b).

Respectfully submitted,



Paul D. Ackerman  
Reg. No. 39,891

Attorney for Applicants  
212-408-2585

BakerBotts L.L.P.  
30 Rockefeller Plaza  
New York NY 10112

Attachment

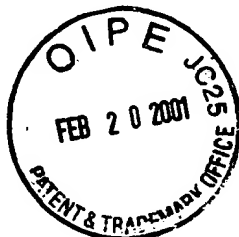


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/730,266	12/05/2000	2161	710	A33437 (065855.0122)	5	18	3

21003  
BAKER & BOTTS  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112



CONFIRMATION NO. 7871

## FILING RECEIPT



\*OC000000005720264\*

Date Mailed: 01/30/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Chris Boardman, Collegeville, PA;

## Assignment For Published Patent Application

IMS HEALTH INCORPORATED,;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/17/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request ☒ No Yes

Early Publication Request: No

## Title

System and method for estimating product distribution using a product specific universe

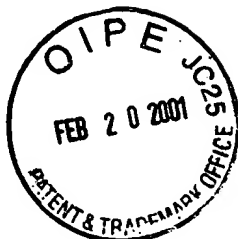
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WASHINGTON

Appln. Trans.  
PATENT



## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional  
applications under 37 CFR 1.53(b))

Attorney Docket No. A33437 (065855.0122)

First Named Inventor Chris Boardman

Express Mail Label No. EK839855705US

Total Pages 3

I hereby certify that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Paul D. Ackerman

December 5, 2000

Date

December 5, 2000

**BY EXPRESS MAIL** - Label No. EK839855705US

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Chris Boardman, entitled SYSTEM AND METHOD FOR ESTIMATING PRODUCT DISTRIBUTION USING A PRODUCT SPECIFIC UNIVERSE.

which includes:

<input checked="" type="checkbox"/> Specification	<u>15</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>5</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u>5</u> Total Sheets
<u>  </u> formal	
<input checked="" type="checkbox"/> informal	

☒ Combined Declaration and Power of Attorney 4 Total Pages

☒ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

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Appln. Trans.  
PATENT

Attorney Docket No. A33437 (065855.0122)

If a continuing application, check appropriate box:

- ☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application No.

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part  
of copending application Serial No.      filed     ."

☒ An Assignment of the invention to IMS HEALTH INCORPORATED.

☒ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

☐ will follow.

☐ has been filed in the prior application

☐ Small Entity Statement(s) **ENCLOSED**.

☐ Small Entity Statement filed in prior application. Status still proper and desired.

☐ Information Disclosure Statement (IDS) PTO-1449

☐ Copies of IDS Citations.

☐ Preliminary Amendment

☒ Return Receipt Postcard

☐ Other     

☐ Cancel in this application original claims      of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

<u>FOR</u>	<u>(Col. 1)</u> <u>No. Filed</u>		<u>(Col. 2)</u> <u>No. Extra</u>		<u>Small Entity</u> <u>Rate</u> <u>Fee</u>		<u>OR</u>	<u>Other Than A</u> <u>Small Entity</u> <u>Rate</u> <u>Fee</u>	
Basic Fee									\$710.00
Total Claims	18	-20	=	0	x 9 =	\$0.00	x	18 =	\$0.00
Ind. Claims	3	-3	=	0	x 40 =	\$0.00	x	80 =	\$0.00
Multiple Dependent Claim					+ 135 =			+ 270 =	
					Total	<u>\$0.00</u>			<u>\$710.00</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

Appln. Trans.  
PATENT

Attorney Docket No. A33437 (065855.0122)

<input checked="" type="checkbox"/>	Basic filing fee	\$710.00
<input checked="" type="checkbox"/>	Recording Assignment [\$40.00; 37 CFR 1.21(h)]	\$40.00
	Total Fees Enclosed	\$750.00

☒ A check in the amount of \$750.00 to cover filing fee and assignment recordation fee is enclosed.

Priority

☐ Priority of application Country \_\_, Appln. No. \_\_ filed \_\_ is claimed under 35 U.S.C. 119.

☐ Certified Copy of Priority Document(s) Country \_\_, Appln No. \_\_, filed \_\_.

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N \_\_.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By

  
Paul D. Ackerman

PTO Registration No. 39,891

Enclosures



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 7871

<b>SERIAL NUMBER</b> 09/730,266	<b>FILING DATE</b> 12/05/2000 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2163	<b>ATTORNEY DOCKET NO.</b> A33437 (065855.0122)	
<b>APPLICANTS</b> Chris Boardman, Collegeville, PA;					
<b>** CONTINUING DATA *****</b>					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 01/17/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged <u>                    </u> Examiner's Signature <u>                    </u> Initials		<b>STATE OR COUNTRY</b> PA	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 18	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> 21003					
<b>TITLE</b> System and method for estimating product distribution using a product specific universe					
<b>FILING FEE RECEIVED</b> 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

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